

# CSI: Novelty Detection via Contrastive Learning on Distributionally Shifted Instances



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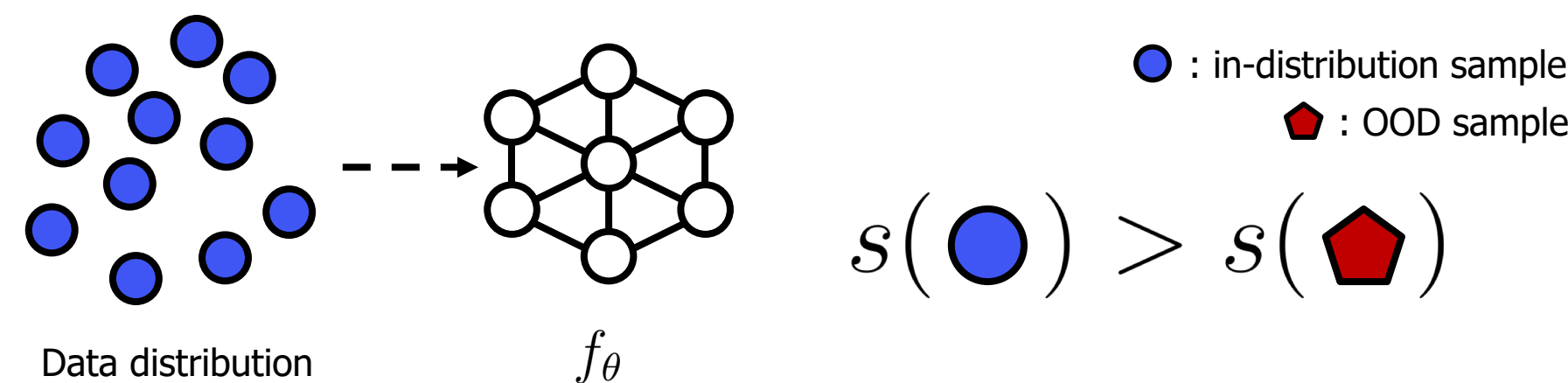
Paper: <https://arxiv.org/abs/2007.08176>

Code: <https://github.com/alinelab/CSI>

**TL;DR.** We propose a novel contrastive learning scheme for out-of-distribution (OOD) detection, which contrasts hard (distribution-shifting) augmentations to improve in-vs-out discriminability

## Introduction

Out-of-distribution (OOD) (novelty, or anomaly) detection is a task of identifying whether a given sample belongs to the data distribution



**General approach.** Most recent approaches tackle the problem

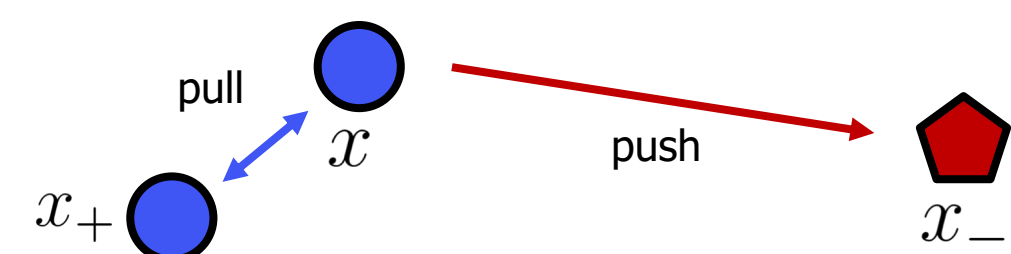
- by learning a representation  $f_\theta(\cdot)$  from the data distribution
- then define a detection score  $s(\cdot)$  upon the learned representation

**Motivation.** Inspired by the recent success of self-supervised learning for OOD detection [1], we aim to utilize the power of contrastive learning, the state-of-the-art method for representation learning [2]

**Contribution.** We propose (a) new contrastive learning scheme and (b) new detection score which utilizes the learned contrastive representation

## Contrastive Learning

Contrastive learning encodes the inductive bias of data by pulling similar samples (positives) and pushing the dissimilar samples (negatives)



We consider simple contrastive learning (SimCLR) [2]:

- pull the same samples but with different augmentations  $(x_i, x_j)$
- push the different samples in the batch  $\{x_k\}$  for  $k \neq i$

For representation  $z(x)$  of a sample  $x$ , SimCLR loss is given by:

$$-\log \frac{\exp(\text{sim}(z(x_i), z(x_j))/\tau)}{\sum_{k \neq i} \exp(\text{sim}(z(x_i), z(x_k))/\tau)} \quad \begin{array}{l} \tau: \text{temperature} \\ \text{hyperparameter} \end{array}$$

## Contrasting Shifted Instances (CSI)

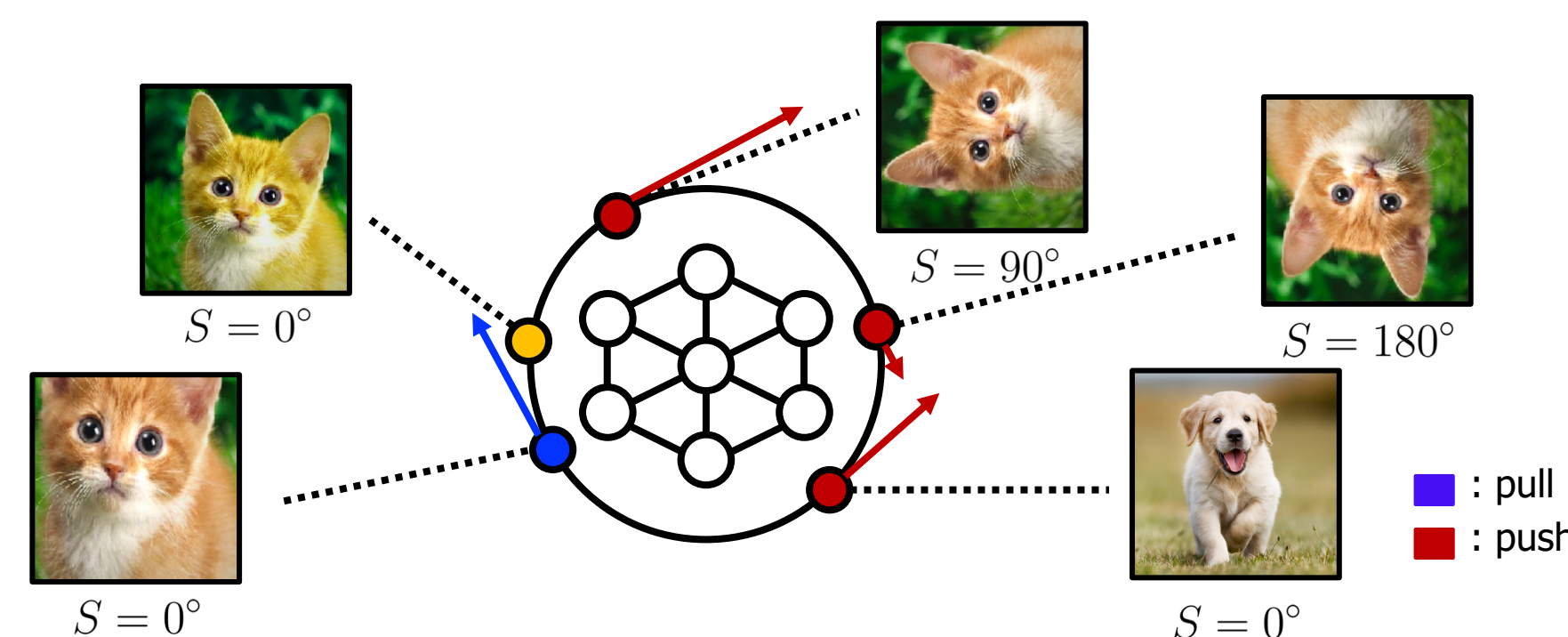
Hard (distribution-shifting) augmentations (e.g., rotation)

- ...was known to be harmful and unused for standard contrastive learning
- ...turns out to be effective for OOD detection!

**Intuition.** Distributionally-shifted samples are “nearby, but not too nearby” outliers, hence help the model to discriminate in- vs. out-of-distribution

**Representation learning.** Contrast the distributionally-shifted samples of itself in addition to the different samples

- contrast: use shifted samples as a negative for contrastive learning
- classify: train an auxiliary classifier for transformations (as in [1])



**Detection score.** For a given sample  $x$ , we define the detection score  $s_{\text{con}}$  for contrastive representation as a combination of two features:

- cosine similarity to the nearest training sample in  $\{x_m\}$
- norm of the representation  $z(x)$

$$s_{\text{con}}(x; \{x_m\}) := \max_m \text{sim}(z(x_m), z(x)) \cdot \|z(x)\|$$

We further improve the score by incorporating shifting transformations:

- $s_{\text{con-SI}}$ : Ensemble  $s_{\text{con}}$  over the shifting transformations
- $s_{\text{cls-SI}}$ : Confidence of the auxiliary transformation classifier

$$s_{\text{CSI}}(x; \{x_m\}) := s_{\text{con-SI}}(x; \{x_m\}) + s_{\text{cls-SI}}(x)$$

**OOD-ness: How to choose the shifting transformation?** We choose the most OOD-like yet semantically meaningful transformation, measured by the AUROC between original vs transformed samples

**Extension to confident-calibrated classifiers.** We also adapt CSI for supervised contrastive learning (SupCLR) [3] to calibrate classifiers

## Main Results

CSI achieves the state-of-the-art performance for all tested scenarios:

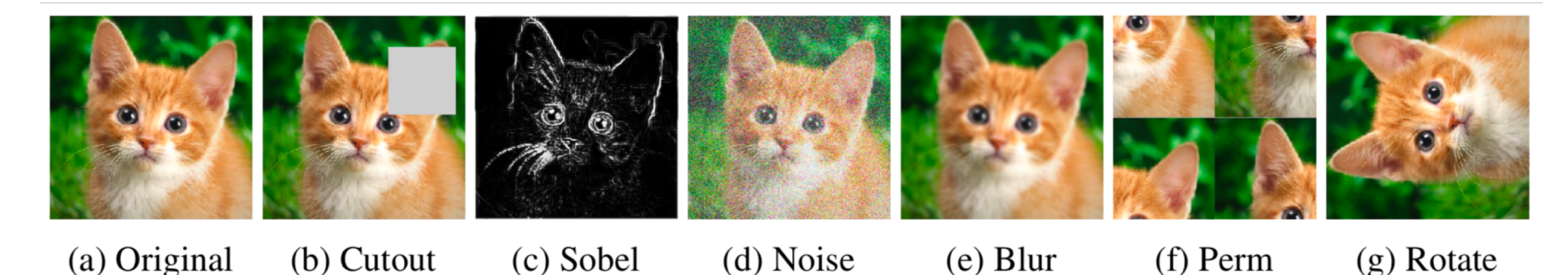
(1) unlabeled one-class, (2) unlabeled multi-class, (3) labeled multi-class

(a) One-class CIFAR-10												
Method	Network	Plane	Car	Bird	Cat	Deer	Dog	Frog	Horse	Ship	Truck	Mean
OC-SVM* [64]	-	65.6	40.9	65.3	50.1	75.2	51.2	71.8	51.2	67.9	48.5	58.8
DeepSVDD* [60]	LeNet	61.7	65.9	50.8	59.1	60.9	65.7	67.7	67.3	75.9	73.1	64.8
AnoGAN* [63]	DCGAN	67.1	54.7	52.9	54.5	65.1	60.3	58.5	62.5	75.8	66.5	61.8
OCGAN* [55]	OCGAN	75.7	53.1	64.0	62.0	72.3	62.0	72.3	57.5	82.0	55.4	65.7
Geom* [17]	WRN-16-8	74.7	95.7	78.1	72.4	87.8	87.8	83.4	95.5	93.3	91.3	86.0
Rot* [27]	WRN-16-4	71.9	94.5	78.4	70.0	77.2	86.6	81.6	93.7	90.7	88.8	83.3
Rot+Trans* [27]	WRN-16-4	77.5	96.9	87.3	80.9	92.7	90.2	90.9	96.5	95.2	93.3	90.1
GOAD* [2]	WRN-10-4	77.2	96.7	83.3	77.7	87.8	87.8	90.0	96.1	93.8	92.0	88.2
Rot [27]	ResNet-18	78.3±0.2	94.3±0.3	86.2±0.4	80.8±0.6	89.4±0.5	89.0±0.4	88.9±0.4	95.1±0.2	92.3±0.3	89.7±0.3	88.4
Rot+Trans [27]	ResNet-18	80.4±0.3	96.4±0.2	85.9±0.3	81.1±0.5	91.3±0.3	89.6±0.3	89.9±0.3	95.9±0.1	95.0±0.1	92.6±0.2	89.8
GOAD [2]	ResNet-18	75.5±0.3	94.1±0.3	81.8±0.5	72.0±0.3	83.7±0.9	84.4±0.3	82.9±0.8	93.9±0.3	92.9±0.3	89.5±0.2	85.1
CSI (ours)	ResNet-18	89.9±0.1	99.1±0.0	93.1±0.2	86.4±0.2	93.9±0.1	93.2±0.2	95.1±0.1	98.7±0.0	97.9±0.0	95.5±0.1	94.3

(b) One-class CIFAR-100 (super-class)			(c) One-class ImageNet-30		
Method	Network	AUROC	Method	Network	AUROC
OC-SVM* [64]	-	63.1	Rot* [27]	ResNet-18	65.3
Geom* [17]	WRN-16-8	78.7	Rot+Trans* [27]	ResNet-18	77.9
Rot [27]	ResNet-18	77.7	Rot+Attn* [27]	ResNet-18	81.6
Rot+Trans [27]	ResNet-18	79.8	Rot+Trans+Attn* [27]	ResNet-18	84.8
GOAD [2]	ResNet-18	74.5	Rot+Trans+Attn+Resize* [27]	ResNet-18	85.7
CSI (ours)	ResNet-18	89.6	CSI (ours)	ResNet-18	91.6

## Effects of Shifting Transformations

Measure the (a) OOD-ness and (b) OOD detection performance applied on CSI for various transformations (rotation is the best for CIFAR-10)



(a) OOD-ness of various transformations						
	Cutout	Sobel	Noise	Blur	Perm	Rotate
OOD-ness	79.5	69.2	74.4	76.0	83.8	85.2

(b) OOD detection performance of various transformations, applied on CSI							
Base		Cutout	Sobel	Noise	Blur	Perm	Rotate
87.9	+Align	84.3	85.0	85.5	88.0	73.1	76.5
	+Shift	88.5	88.3	89.3	89.2	90.7	94.3

The best shifting transformation depends on the datasets (e.g., for textile)

(a) OOD-ness		(b) AUROC		
Rot.	Noise	Base	CSI(R)	CSI(N)
50.6	75.7	70.3	65.9	80.1

**Open question.** Which transformation should be (or not be) contrasted?

[1] Hendrycks et al. "Using Self-Supervised Learning Can Improve Model Robustness and Uncertainty". NeurIPS 2019.

[2] Chen et al. "A Simple Framework for Contrastive Learning of Visual Representations". ICML 2020.

[3] Khosla et al. "Supervised Contrastive Learning". NeurIPS 2020.